## JC10 Rec'd PCT/PTO 2:9 APR 2005

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PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

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|   | NSMITTAL LETTER TO  | GIL-15940                                    |                                       |  |  |  |  |  |  |
|---|---|--|---------------------------------------|--|--|--|--|--|--|
|   | ESIGNATED/ELECTED<br>CERNING A SUBMISSIO  | U.S. APPLICATION NO (ITKNOWN, GOEST CFR 1.5) |                                       |  |  |  |  |  |  |
|   | ONAL APPLICATION NO.<br>P2003/011596  | INTERNATIONAL FILING DATE<br>20 October 2003 | PRIORITY DATE CLAIMED 23 October 2002 |  |  |  |  |  |  |
| TITLE OF INVENTION Aqueous Iron Carbohydrate Complexes, Their Production And Medicaments Containing Them  |   |  |                                       |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |   |  |                                       |  |  |  |  |  |  |
| Peter Geisser et al.  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |                                       |  |  |  |  |  |  |
| This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |  |                                       |  |  |  |  |  |  |
| 2. 🔲 Ţŀ   | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |  |                                       |  |  |  |  |  |  |
| 3. Th   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |                                       |  |  |  |  |  |  |
| 4. 🗹 TI   | The US has been elected (Article 31).   |  |                                       |  |  |  |  |  |  |
| 5.  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |                                       |  |  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).  |  |                                       |  |  |  |  |  |  |
|   | b. has been communicated by the International Bureau.   |  |                                       |  |  |  |  |  |  |
|   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |                                       |  |  |  |  |  |  |
| 6.  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |                                       |  |  |  |  |  |  |
|   | a. is attached hereto.  |  |                                       |  |  |  |  |  |  |
|   | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |  |                                       |  |  |  |  |  |  |
| 7.  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |                                       |  |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).   |  |                                       |  |  |  |  |  |  |
|   | b. have been communicated by the International Bureau.  |  |                                       |  |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |  |                                       |  |  |  |  |  |  |
|   | d. have not been made and will not be made.   |  |                                       |  |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |                                       |  |  |  |  |  |  |
| 9.  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |                                       |  |  |  |  |  |  |
| 10. 🔽   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                    |  |                                       |  |  |  |  |  |  |
| Items 1   | 1 to 20 below concern document(s  | s) or information included:                  |                                       |  |  |  |  |  |  |
| 11. 🔲   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                  |                                       |  |  |  |  |  |  |
|   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |                                       |  |  |  |  |  |  |
| 13.   | A preliminary amendment.  |  |                                       |  |  |  |  |  |  |
| 14. 🖳   | An Application Data Sheet under 37 CFR 1.76.  |  |                                       |  |  |  |  |  |  |
| 15.   | A substitute specification.   |  |                                       |  |  |  |  |  |  |
| 16.   | A power of attorney and/or change of address letter.  |  |                                       |  |  |  |  |  |  |
| 17.   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |  |                                       |  |  |  |  |  |  |
| 18.   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |  |                                       |  |  |  |  |  |  |
| 19.   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |                                       |  |  |  |  |  |  |
| 20. 🔽   | Other items or information: Return Postcard   |  |                                       |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| U.S. APPLICATION NO. (if known sep 37 GFP-1.5) INTERNATIONAL APPLICATION NO. PCT/EP2003/011596   |   |  |                                  | ATTORNEY'S DOCKET NUMBER |             |  |  |  |  |
|--|---|--|----------------------------------|--------------------------|-------------|--|--|--|--|
| 1  | 0/5218  | ソフ   | PCT/EP2003/011596                |                          | GIL-15940   |  |  |  |  |
| 21. The following  | ng fees are submitted:  |  |                                  |                          |             |  |  |  |  |
| a) Basic na  | itional fee   | \$ 300.00  |                                  |                          |             |  |  |  |  |
| b) Examina   | ation fee   | \$ 200.00  |                                  |                          |             |  |  |  |  |
| c) Search f  | ee  | \$ 500.00  |                                  |                          |             |  |  |  |  |
|  | TOTAL OF ABOVE CA   | \$ 1,000.00  |                                  |                          |             |  |  |  |  |
| listing or co  | ee for specification and d<br>mputer program listing fil<br>0 sheets of paper or frac | ·  |                                  |                          |             |  |  |  |  |
| Total Sheets   | Extra sheets  | ets Number of each additional 50 or fraction thereof (round <b>up</b> to a whole number) |                                  |                          |             |  |  |  |  |
| 17 - 100 =   | 0 /50 =   |  |                                  | × \$250.00               | \$          |  |  |  |  |
|  | 30.00 for furnishing the ordate (37 CFR 1.492(e)).                                    | \$ 130.00  |                                  |                          |             |  |  |  |  |
| CLAIMS   | NUMBER FII  | LED  | NUMBER EXTRA                     | RATE                     | \$          |  |  |  |  |
| Total claims   |   | - 20 =   | 0                                | × \$50.00                | \$          |  |  |  |  |
| Independent clai   | ms 1  | - 3 =  | 0                                | \$200.00                 | \$          |  |  |  |  |
| MULTIPLE DEP   | ENDENT CLAIM(S) (if ap  | oplicable)   | •                                | <b>\$360.00</b>          | \$          |  |  |  |  |
|  |   |  | TOTAL OF ABOVE CAL               |                          | \$ 1,130.00 |  |  |  |  |
| Applicant c  | laims small entity status.  | See 37 CFR   | 1.27. The fees indicated above a | are reduced              | \$          |  |  |  |  |
|  |   |  |                                  | SUBTOTAL =               | \$ 1,130.00 |  |  |  |  |
|  | of <b>\$130.00</b> for furnishing to<br>date (37 CFR 1.492(f)).                       | \$   |                                  |                          |             |  |  |  |  |
|  |   | \$ 1,130.00  |                                  |                          |             |  |  |  |  |
| Fee for recording by an appropriate  | g the enclosed assignme<br>e cover sheet (37 CFR 3                                    | \$   |                                  |                          |             |  |  |  |  |
|  |   |  | TOTAL FEES                       | ENCLOSED =               | \$ 1,130.00 |  |  |  |  |
|  |   | Amount to be refunded:   | \$                               |                          |             |  |  |  |  |
|  |   | Amount to be charged:  | \$                               |                          |             |  |  |  |  |
| a. A chec  | ck in the amount of \$  | 130.00   | to cover the above fe            | es is enclosed.          |             |  |  |  |  |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.   |   |  |                                  |                          |             |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 18-0160. A duplicate copy of this sheet is enclosed.                        |   |  |                                  |                          |             |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |  |                                  |                          |             |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the International Application to pending status.                |   |  |                                  |                          |             |  |  |  |  |
| SEND ALL COR   |   |  |                                  |                          |             |  |  |  |  |
| Kenneth A. Clark   |   |  |                                  |                          | =           |  |  |  |  |
| Rankin, Hill, Porter & Clark LLP SIGNATURE   |   |  |                                  |                          |             |  |  |  |  |
| 925 Euclid Avenue, Suite 700 Kenneth A   |   |  |                                  | Clark                    |             |  |  |  |  |
| Cleveland,   | Ohio 44115-1405   |  |                                  |                          |             |  |  |  |  |
| 32,119   |   |  |                                  |                          |             |  |  |  |  |
|  |   | ON NUMBER  |                                  |                          |             |  |  |  |  |